

STATE OF IOWA  
DEPARTMENT OF COMMERCE  
UTILITIES BOARD

IN RE:  ANNUAL REPORTS	DOCKET NO. RMU-00-3
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**ORDER COMMENCING RULE MAKING**

(Issued January 31, 2000)

Pursuant to the authority of Iowa Code §§ 17A.4, 474.5, 476.1, 476.2(1), 476.9, and 476.31 (1999), the Utilities Board proposes to adopt the rules attached hereto and incorporated by reference. These rules amend the requirements contained in 199 IAC 23.2(8) with respect to the information contained in the annual reports of rate-regulated gas and electric companies. The reasons for proposing this amendment are set forth in the attached notice of intended action.

**IT IS THEREFORE ORDERED:**

1. A rule making proceeding, identified as Docket No. RMU-00-3, is commenced for purposes of receiving comments upon the proposed rules attached to this order.

2. The Executive Secretary is directed to submit for publication in the Iowa Administrative Bulletin a notice in the form attached to and incorporated by reference in this order.

**UTILITIES BOARD**

/s/ Allan T. Thoms

/s/ Susan J. Frye

ATTEST:

/s/ Raymond K. Vawter, Jr.  
Executive Secretary

/s/ Diane Munns

Dated at Des Moines, Iowa, this 31<sup>st</sup> day of January, 2000.

## **UTILITIES DIVISION [199]**

### **NOTICE OF INTENDED ACTION**

Pursuant to Iowa Code sections 17A.4, 474.5, 476.1, 476.2(1), 476.9, and 476.31 (1999), the Utilities Board (Board) gives notice that on January 31, 2000, the Board issued an order in Docket No. RMU-00-3, In re: Annual Reports. The Board is proposing to amend current 199 IAC 23.2(8) by eliminating the requirements contained in subparts "c" and "d" of the rule. 199 IAC 23.2(8) contains some of the requirements for the annual reports filed by rate-regulated gas and electric utilities.

The proposed amendment is intended to implement the Board's changes to the annual report forms. The Board has undertaken a complete review of its annual report forms and requirements and eliminated pages of required information no longer useful or relevant or duplicated elsewhere in the reports. Most of these changes could be implemented without changes to the rules. However, the proposed amendment is necessary to eliminate the reporting requirements contained in parts "c" and "d" of 199 IAC 23.2(8)—aggregate measures of service quality and cost efficiency and reports identifying the value of those measures. The listing of aggregate measures has not proved useful and, if necessary, such information can be obtained by the Board or the Consumer Advocate Division of the Department of Justice.

Pursuant to Iowa Code sections 17A.4(1)"a" and "b", any interested person may file a written statement of position pertaining to the proposed rules. The statement

must be filed on or before March 14, 2000, by filing an original and ten copies in a form substantially complying with 199 IAC 2.2(2). All written statements should clearly state the author's name and address and should make specific reference to this docket. All communications should be directed to the Executive Secretary, Utilities Board, 350 Maple Street, Des Moines, Iowa 50319-0069.

If requested pursuant to Iowa Code section 17A.4(1)"b", or on its own motion after reviewing the statements, the Board will determine whether an opportunity for oral presentation should be provided.

These rules are intended to implement Iowa Code sections 476.1, 476.2(1), 476.9, and 476.31.

The following amendment is proposed.

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Item 1. Amend 199 23.2(8) as follows:

**199—23.2(8).** The respondent shall file as part of its annual report filed with the board (a) a list (by title, author, and date) of any financial, statistical, technical or operational reviews or reports that a company may prepare for distribution to stockholders, bondholders, utility organizations or associations or other interested parties, (b) a list (by form number and title) of all financial, statistical, technical and operational review-related documents filed with an agency of the federal government ~~· (c) a list identifying the aggregate measures of service quality and cost efficiency utilized by the president or chief operating officer for the utility's Iowa operations, and~~ (d) a list identifying the report(s) utilized by the president or chief operating officer for

~~the utility's Iowa operations containing the most recent value for each measure~~  
identified in "c."

This rule is intended to implement Iowa Code sections 476.1, 476.2(1), 476.9,  
and 476.31.

January 31, 2000

/s/ Allan T. Thoms

Allan T. Thoms  
Chairperson